

# FIDUCIARY OATH

## National Association of Personal Financial Advisors

The advisor shall exercise his/her best efforts  
to act in good faith and in the best interests of the client.

The advisor shall provide written disclosure to the  
client prior to the engagement of the advisor,  
and thereafter throughout the term of the engagement,  
of any conflicts of interest,

which will or reasonably may compromise  
the impartiality or independence of the advisor.

The advisor, or any party in which the advisor has  
a financial interest, does not receive any compensation  
or other remuneration that is contingent on  
any client's purchase or sale of a financial product.

The advisor does not receive a fee or other compensation  
from another party based on the referral of  
a client or the client's business.

*Following the NAPFA Fiduciary Oath means I shall:*

- \* Always act in good faith and with candor
- \* Be proactive in disclosing any conflicts of interest that  
may impact a client
- \* Not accept any referral fees or compensation contingent  
upon the purchase or sale of a financial product



Signed this 6<sup>th</sup> of December, 2016

NAPFA-Registered Financial Advisor

# FIDUCIARY OATH PUTTING YOUR INTERESTS FIRST



I believe in placing your best interests first. Therefore, I am proud to commit to the following five fiduciary principles:

I will always put your best interests first.

I will act with prudence; that is, with the skill, care, diligence, and good judgment of a professional.

I will not mislead you, and I will provide conspicuous, full and fair disclosure of all important facts.

I will avoid conflicts of interest.

I will fully disclose and fairly manage, in your favor, any unavoidable conflicts.

Advisor Signature Richard Omobogie

Advisor Name Richard Omobogie

Date 07/29/2016